FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT O	E CHANGES IN	BENEFICIAL	OWNERSHIP
SIAILMLMIO	L CHAINGES III	DENTIFICIAL	OWINEINSTILL

OMB APPROVAL							
OMB Number: 3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     GIN JERRY B				2. Issuer Name <b>and</b> Ticker or Trading Symbol VistaGen Therapeutics, Inc. [ VTGN ]						(Ch	elationship of eck all applica	able)	Perso	on(s) to Issue			
	•	rirst) HERAPEUTICS, VENUE	(Middle)	0	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2022						Officer ( below)	give title	Other (spec below)		,		
(Street) SOUTH FRANCI	SCO C		94080 (Zip)	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)					Line	) <mark>X</mark> Form fil	oint/Group Filing (Check Applicable led by One Reporting Person led by More than One Reporting					
=	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
Date				Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) 8)		Transac Code (I		(4) or		tr. 3, 4 and	) Securities Beneficially		Form:	Direct Indirect Etr. 4)	. Nature of ndirect Beneficial bwnership Instr. 4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date, Tr curity or Exercise (Month/Day/Year) if any C			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		e s (A) sed str.	Expiration Date of Se (Month/Day/Year) Under Deriv		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)		Date Exercisabl		xpiration ate	Title	Amount or Number of Shares		(Instr. 4)	ni(ə)		
Stock Option (Right to Buy)	\$1.37	03/01/2022		A		100,000		(1)	03	3/01/2032	Common Stock	100,000	\$0	100,000	0	D	

## **Explanation of Responses:**

1. Represents stock options granted pursuant to the Issuer's Amended and Restated 2019 Omnibus Equity Incentive Plan, which vest in twelve equal monthly installments, beginning on the date of the grant.

/s/ Jerrold D. Dotson, Attorney-03/03/2022 in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.