FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

wasinington,	D.C.	20343	

STATEMENT	OF CHANGES	IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL			
OMB Number:	3235-0287		
Estimated average burden			
hours per response:	0.5		

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Instruction 1(b) or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) <u>VistaGen Therapeutics, Inc.</u> [ VTGN ] MCPARTLAND MARK A. Director Officer (give title Other (specify below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) VP CORPORATE DEVELOPMENT C/O VISTAGEN THERAPEUTICS, INC. 05/23/2019 343 ALLERTON AVENUE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) **SOUTH SAN** Form filed by One Reporting Person 94080 CA **FRANCISCO** Form filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of Securities 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 7. Nature of (Month/Day/Year) if any (Month/Day/Year) Code (Instr Beneficially (D) or Indirect Beneficial Owned Following Reported 8) (I) (Instr. 4) Ownership (Instr. 4) (A) or Transaction(s) Code Amount Price (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number of 7. Title and Amount 1. Title of 3. Transaction 3A. Deemed 6. Date Exercisable and 8. Price of 9. Number of 10. 11. Nature Expiration Date (Month/Day/Year) Derivative Security (Instr. 3) Transaction Code (Instr. 8) Derivative Securities of Securities Underlying Derivative Security derivative Securities Ownership Form: of Indirect Beneficial Conversion **Execution Date** (Month/Day/Year) if any or Exercise (Instr. 5) Price of (Month/Day/Year) Acquired (A) **Derivative Security** Beneficially Direct (D) Ownership

## (Right to Buy)

Stock

Derivative Security

**Explanation of Responses:** 1. 25% of the Shares subject to the Option reported herein shall vest on Vesting Start Date May 23, 2019, and 1/36th of the remaining Shares subject to the Option shall vest on each monthly anniversary of the Vesting Start Date thereafter

Date Exercisable

(1)

/s/ Jerrold D. Dotson, Attorney- 05/28/2019

Amount Number

of Shares

150,000

150,000

Following Reported Transaction(s) (Instr. 4) or Indirect (I) (Instr. 4)

D

(Instr. 4)

in-Fact

Title

Stock

Expiration Date

05/23/2029

(Instr. 3 and 4)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/23/2019

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

or Disposed of (D) (Instr.

(D)

3. 4 and 5)

(A)

150,000