FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C.	20549
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number: 3235-0287							
Estimated average burden							
hours ner response.	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  DOTSON JERROLD DUANE  (Last) (First) (Middle)  C/O VISTAGEN THERAPEUTICS, INC.  343 ALLERTON AVENUE					Issuer Name and Ticker or Trading Symbol     VistaGen Therapeutics, Inc. [ VTGN ]  3. Date of Earliest Transaction (Month/Day/Year) 03/01/2022							Director Officer ( below)	able) give title	on(s) to Issue 10% Ow Other (sp below) CRETARY	ner			
(Street) SOUTH FRANCI	ISCO	A State)	94080 (Zip)	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Ind Line)						
		Ta	ble I - Non-D	erivati	ve Se	curitie	s Ac	quired,	Disp	osed o	f, or B	enefic	cially	Owned				
1. Title of Security (Instr. 3)  2. Transa Date (Month/D			е	2A. Deemed Execution Date if any (Month/Day/Yea		Date,	Code (Instr.			4 and 5) Securitie Beneficia Owned F Reported		es Form ally (D) o following (I) (Ir		: Direct II Indirect E str. 4) C	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
								Code	V	Amount	t (A) or P		rice	Transaction (Instr. 3 a	tion(s) and 4)			·
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution Date, T urity or Exercise (Month/Day/Year) if any				5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		re s I (A) sed str.	Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s	e s lly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)		Date Exercisabl		xpiration ate	Title	Amo or Num of Si		nt (Instr. 4) er		on(S)		
Stock Option (Right to Buy)	\$1.37	03/01/2022		A		150,000		(1)	0:	3/01/2032	Common Stock	150	,000	\$0	150,00	00	D	

## **Explanation of Responses:**

1. Represents stock options granted pursuant to the Issuer's Amended and Restated 2019 Omnibus Equity Incentive Plan. Twenty-five percent (25%) of the total number of shares vest immediately on March 1, 2022 (the "Vesting Start Date") and 1/24th of the remaining number of shares shall vest monthly thereafter until all awarded shares are fully vested two (2) years after the Vesting Start Date.

/s/ Jerrold D. Dotson

03/03/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.